

Import Documentary Collection Update User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Import Documentary Collection Update User Guide
Oracle Financial Services Software Limited

Oracle Park
Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India
Worldwide Inquiries:
Phone: +91 22 6718 3000
Fax: +91 22 6718 3001
www.oracle.com/financialservices/

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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTfPM) User Guide. This guide provides an overview on the OBTfPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTfPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTfPM is a Trade Finance Middle Office platform, which enables bank to streamline the Trade Finance operations. OBTfPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTfPM helps banks to manage trade finance operations across the globe in different currencies. OBTfPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Import Documentary Collection Update Booking

Import Collection update Booking process involves update of an existing documentary collection.

This section contains the following topics:

Common Initiation Stage	Reject Approval
Registration	Data Enrichment
Exceptions	Multi Level Approval

Common Initiation Stage

The user can initiate the new import collection update request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Documentary Collection Number	Select the Documentary Collection Number.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

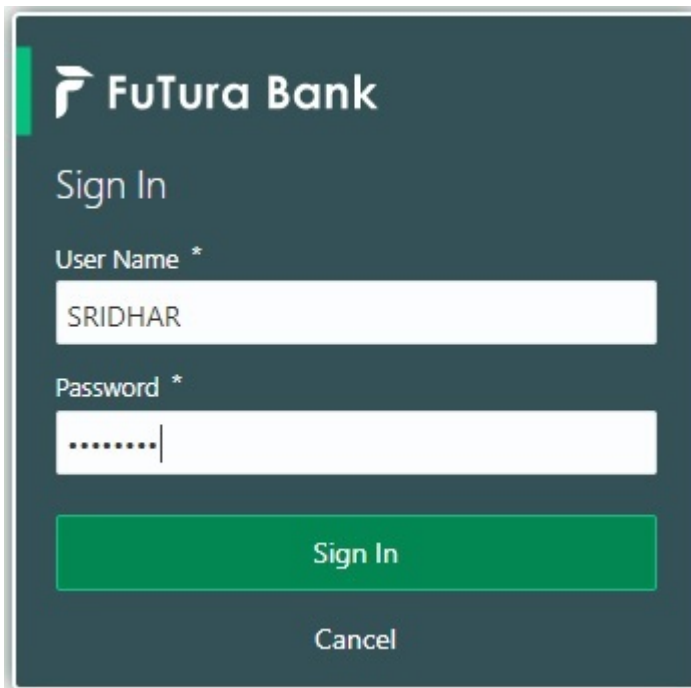
Field	Description
Proceed	Task will get initiated to next logical stage.

Field	Description
Clear	The user can clear the contents update and can input values again.

Registration

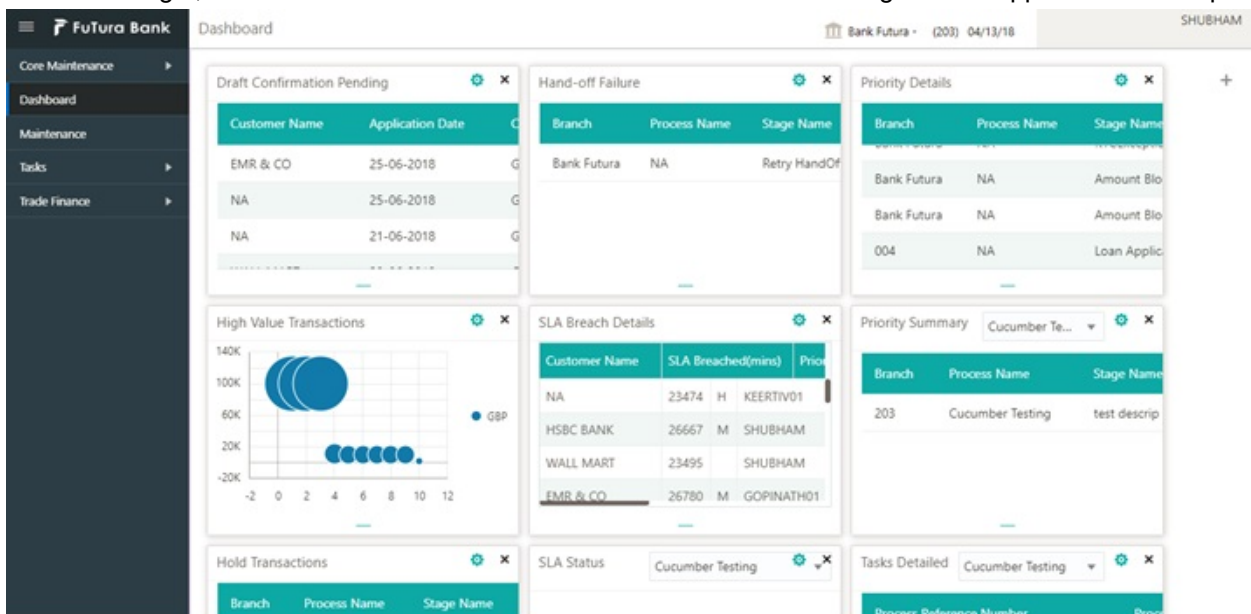
The process starts with Registration stage. In Registration stage, user can capture the basic details of the transaction and upload related documents. On submit, the request will be available for an collection expert to handle the request in the next stage.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.



The image shows the 'Sign In' screen of the FuTura Bank application. It features a dark blue header with the 'FuTura Bank' logo. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name *' with the value 'SRIDHAR' and 'Password *' with masked characters. A green 'Sign In' button and a 'Cancel' button are at the bottom.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user profile.



3. Click Trade Finance> Import Documentary Collection> Import Documentary Collection Update.

The screenshot displays the Oracle Flexcube Universal Banking Dashboard. The left sidebar contains a menu with the following items: Core Maintenance, Dashboard, Maintenance, Security Management, Tasks, Trade Finance, Bank Guarantee Advice, Bank Guarantee Issuan..., Enquiry, Export - Documentary..., Export - Documentary..., Import - Documentary..., Import Documentary C..., Import Documentary C..., Import Documentary C..., and Import Documentary C... The 'Import Documentary C...' item at the bottom is highlighted with a red box. The main dashboard area shows a grid of widgets: 'High Value Transactions' (No data to display), 'High Priority Tasks' (No data to display), 'Priority Summary' (No data to display), and 'Status Summary' (Export Documentary Collections-Upd...). The top right corner shows the user's name 'SRIDHAR01' and email 'subham@gmail.com'.

The Registration stage has two sections Application Details and Collection Details. Let's look at the details of Registration screens below:

Application Details

Import Documentary Collection Update Booking
Documents
Remarks
Customer Instruction
Common Group Messages

Documentary Collection Number *
PK2IUNA21125A3UY

Drawee *
000325 NATIONAL FREE

Branch
PK2-Oracle Banking Trade Finan...

Priority
Medium

Submission Mode
Desk

Update Date *
May 5, 2021

Remitting Bank/Remitter

Remitting Bank/Remitter Reference

Process Reference Number
PK2IDCU000065214

Remitting Bank Date/Remitting Date *
May 5, 2021

Version Number
1

View Collection
Events

Collection Details

Documents Received
Second

Duplicate
☒

Tenor Type *
Usance

Product Code *
IUNA

Product Description
INCOMING DOCUMENTARY USANCE B

Operation Type *
ACC

Stage *
FINAL

Co Acceptance Required
☐

Contract Reference Number
PK2IUNA21125A3UY

Bill Amount *
GBP £10,000.00

Amount In Local Currency
GBP £10,000.00

Bill Outstanding Amount
GBP £10,000.00

Finance Amount
GBP

Acceptance Date

Drawer *
000325 PHIL HAMPTON

Limit verification required
☐

Rebate Amount
GBP £0.00

Transferee Bank

Hold
Cancel
Save & Close
Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Documentary Collection Number	Provide the documentary collection Number. Alternatively, user can search the documentary collection reference using LOV. In the LOV, user can search giving any combination details of Documentary Collection Number, Drawer, Currency, Amount, Product Code and Booking Date to fetch the collection details. Based on the search result, select the applicable documentary collection to update the details.	
Drawee	Read only field. Drawee ID and name will be auto-populated based on the selected Documentary Collection Number. The user can change the parties in Update Collection Processes. Update summary should show both old and new parties.  Note If the values are allowed to change and the selected drawee/ party is blacklisted the system displays a warning message.	
Branch	Read only field. Branch details will be auto-populated based on the selected Documentary Collection Number.	203-Bank Futura -Branch FZ1

Field	Description	Sample Values
Priority	System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit of task in Registration stage.	High
Submission Mode	Select the submission mode of Import Collection Update Booking request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Courier- Request received through Courier	Desk
Update Date	By default, the application will display branch's current date.	04/13/2018
Remitting Bank/Remitter	Read only field. Remitting Bank/Remitter will be auto-populated based on the selected Documentary Collection Number. The user can change the parties in Update Collection Processes. Update summary should show both old and new parties.  Note In case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available".	
Remitting Bank/Remitting Bank Reference	Read only field. Remitting Bank/Remitting Bank Reference will be auto-populated based on the selected Documentary Collection Number.	
Process Reference Number	Unique OBTFPM task reference number for the transaction. This is auto generated by the system based on process name and branch code.	
Remitting Bank Date/Remitting Date	Read only field. Remitting Bank Date/Remitting Date will be auto-populated based on the selected Documentary Collection Number.	
Version Number	Version Number will be auto-generated.	

Collection Details

Registration user can provide collection details in this section. Alternately, details can be provided by Data Enrichment user.

Provide the Collection Details based on the description in the following table:

Field	Description	Sample Values
Documents Received	Read only field. Documents Received will be auto-populated based on the selected Documentary Collection Number.	
Duplicate	If a Duplicate (second set) of Import document is received, the user has to initiate Import Collection update process. User has to select the option as ' Second ' in " Document Received " and has to set the "Duplicate" toggle to Yes. This toggle when enabled, does not allow the user to update any other field within the task except for capturing the remarks.	
Tenor Type	Read only field. Tenor will be auto-populated based on the selected Documentary Collection Number. If Tenor type is Mixed, system should disable user input in Liquidation and Unlinked FX rate field. On Submit user will get an error message.	
Product Code	Read only field. Product Code will be auto-populated from the collection booking.	
Product Description	Read only field. This field displays the description of the product as per the product code.	

Field	Description	Sample Values
Operation Type	Operation type will be auto-populated from the collection booking. User can update the operation code, if required. The options are: • COL • DIS • FOP - Free of Payment for bills booked with operation Collection or Acceptance.  Note Change of Operation from Collection or Acceptance to Free of Payment should be enabled only for Documentary Bills not under LC.  Note User cannot change the operation type, If the operation code is set as ADV or COL or DIS in collection Booking.  Note User can change the operation type to ADV or DIS, if the operation code is set as ACC in collection booking.	
Stage	System displays the stage of the transaction. User can change the value from initial to final.  Note User cannot change the stage value from Final to initial.  Note For Operation Type, FOP the Stage to be defaulted to Final as Free of Payment is not applicable for Bills in Initial stage.	
Co-Acceptance Required	Co-Acceptance toggle will be preset from the collection booking. User can update if required. Toggle 'Yes': Set the toggle to 'Yes', if the co-acceptance has been requested. Toggle 'No': By default the toggle will be set to 'No' which implies the co-acceptance has not been is not required.	

Field	Description	Sample Values
Contract Reference Number	System to populate contract reference number from the back end system once the Documentary Collection Number is selected.	
Bill Amount	Read only field. Bill amount will be auto-populated based on the selected Documentary Collection Reference.	
Amount In Local Currency	System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Bill Outstanding Amount	Read only field. Bill Outstanding Amount will be auto-populated from the collection booking.	
Finance Amount	Read only field. Finance Amount will be auto-populated based on the selected Documentary Collection Number.	
Acceptance Date	Provide the acceptance date.	
Drawer	Read only field. Drawer Name will be auto-populated from the collection booking. The user can change the parties in Update Collection Processes. Update summary should show both old and new parties.  Note If the values are allowed to change and the selected drawee/ party is blacklisted the system displays a warning message.	
Limit verification required	Toggle On- Enables for limit verification. If the toggle is disabled and Finance amount has been provided, the system prompts an override message on Submit.	
Rebate Amount	Provide rebate to the bill outstanding amount.	
Transferee Bank	Select the Transferee Bank from the LOV. The name of the transferee bank appears in the adjacent field. This field is enabled, if Operation Type is TRF .	

Miscellaneous

Import Documentary Collection Update Booking

Documents Remarks Customer Instruction Common Group Messages

Application Details

Documentary Collection Number *
PK2ISNC21125A001

Submission Mode
Desk

Process Reference Number
PK2IDCU000065191

Drawee *
001044 GOODCARE PLE

Update Date *
May 5, 2021

Remitting Bank Date/Remitting Date *
May 5, 2021

Branch
PK2-Oracle Banking Trade Finan...

Remitting Bank/Remitter
003762 CITIBANK ENGL

Version Number
1

Priority
Medium

Remitting Bank/Remitter Reference
pk2refved

View Collection Events

Collection Details

Documents Received
Second

Product Description
INCOMING DOCUMENTARY SIGHT BILI

Contract Reference Number
PK2ISNC21125A001

Finance Amount
GBP

Rebate Amount
GBP £0.00

Duplicate
☐

Operation Type *
TRF

Bill Amount *
GBP £1,000.00

Acceptance Date

Transferee Bank *
001183 RABO BANK

Tenor Type *
Sight

Stage *
FINAL

Amount In Local Currency
GBP £1,000.00

Drawer *
003763 CITIBANK IRELA

Product Code *
ISNC

Co Acceptance Required
☐

Bill Outstanding Amount
GBP £1,000.00

Limit verification required
☐

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the documents received under the collection booking update.	
Remarks	Provide any additional information regarding the collection. This information can be viewed by other users handling the request.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.	

Action Buttons

Submit	On submit, task will move to next logical stage of Import Documentary Update Collection Booking. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.	
Cancel	Cancels the Import Documentary Collection Booking Task. Details entered will not be saved and the task will be removed.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

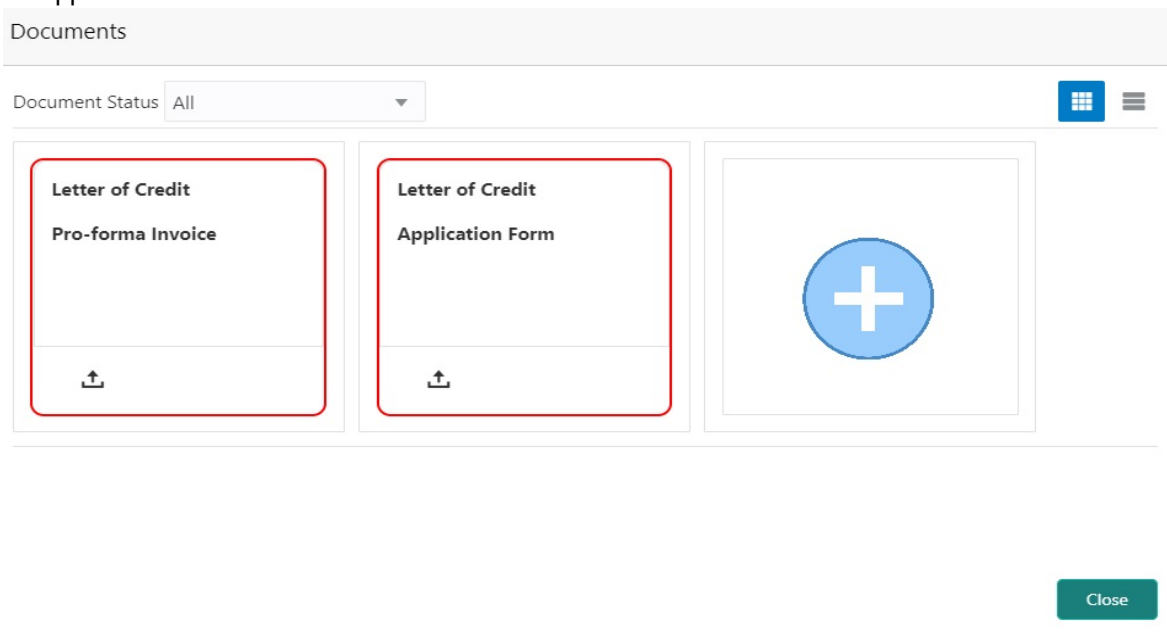
Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.
2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document

Document Type *

Letter of Credit ▼

Document Code *

Insurance Policy ▼

Document Title *

Document Description

Remarks

Document Expiry Date

Drop files here or click to select

Link Document

Selected files: []

Upload

Link

Cancel

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

- Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.

The screenshot shows a 'Documents' window with a 'Document Status' dropdown set to 'All'. It displays a grid of document cards. Two cards on the left are titled 'Letter of Credit' and contain 'Pro-forma Invoice' and 'Application Form' respectively, each with an upload icon. A third card on the right is titled 'wqwq.png' and shows 'Created - 2022-06-28' and 'By - PERI01' with a thumbnail image and search, edit, and download icons. A 'Close' button is at the bottom right.

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Documents

The screenshot shows an 'Edit Document' form. It has two columns of input fields. The left column contains 'Document Id' (2400), 'Application Reference Number' (PK2ILCI000019041), 'Document Type Id' (TFPM_DOCTYPE001), and 'Remarks'. The right column contains 'Document Title' (wqwq), 'Entity Reference Number' (PK2ILCI000019041), 'Document Description' (empty), and 'Document Expiry Date' (Jun 29, 2022). Below the 'Remarks' field is a dashed box with the text 'Drop files here or click to select'. To the right of this box is the text 'Current selected files: []'. At the bottom right are 'Update' and 'Cancel' buttons.

Data Enrichment

On successful completion of registration of an Import Collection Update Booking, the request moves to Data Enrichment stage.



For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task currently at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.

The image shows the login screen for FuTura Bank. It has a dark blue header with the FuTura Bank logo. Below the header, the text "Sign In" is displayed. There are two input fields: "User Name *" with the value "SRIDHAR" and "Password *" with masked characters. A green "Sign In" button is at the bottom.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The image shows the dashboard of the FuTura Bank application. The dashboard is titled "Dashboard" and includes a sidebar with navigation links: Core Maintenance, Dashboard, Maintenance, Tasks, and Trade Finance. The main content area displays several widgets:

- Draft Confirmation Pending:** A table with columns Customer Name, Application Date, and Status. Data rows include EMR & CO (25-06-2018), NA (25-06-2018), and NA (21-06-2018).
- Hand-off Failure:** A table with columns Branch, Process Name, and Stage Name. Data row includes Bank Futura, NA, and Retry HandOff.
- Priority Details:** A table with columns Branch, Process Name, and Stage Name. Data rows include Bank Futura, NA, Amount Blo, and 004, NA, Loan Applic.
- High Value Transactions:** A bubble chart showing transactions for GBP. The x-axis ranges from -2 to 12, and the y-axis ranges from -20K to 140K. Data points are clustered around 4 on the x-axis.
- SLA Breach Details:** A table with columns Customer Name, SLA Breached(mins), and Priority. Data rows include NA (23474, H, KEERTIV01), HSBC BANK (26667, M, SHUBHAM), WALL MART (23495, SHUBHAM), and EMR & CO (26780, M, GOPINATH01).
- Priority Summary:** A table with columns Branch, Process Name, and Stage Name. Data row includes 203, Cucumber Testing, and test descrip.
- Hold Transactions:** A table with columns Branch, Process Name, and Stage Name.
- SLA Status:** A table with columns Branch, Process Name, and Stage Name.
- Tasks Detailed:** A table with columns Branch, Process Name, and Stage Name.

3. Click Trade Finance> Tasks> Free Tasks.

Free Tasks

Menu Item Search...

Core Maintenance
Dashboard
Maintenance
Security Management
Tasks
Completed Tasks
Free Tasks
Hold Tasks
My Tasks
Search
Supervisor Tasks
Trade Finance

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	
Acquire & Edit		Import Documentary U...	000IDCU000030286	000IDCU000030286	DataEnrichment	20-04-17	000	OI
Acquire & Edit		Export LC Cancellation	300ELCC000029085	300ELCC000029085	DataEnrichment	70-01-01	300	OI
Acquire & Edit		Export LC Cancellation	300ELCC000029084	300ELCC000029084	DataEnrichment	70-01-01	300	OI
Acquire & Edit		Export LC Cancellation	300ELCC000029083	300ELCC000029083	DataEnrichment	70-01-01	300	OI
Acquire & Edit		Export LC Cancellation	300ELCC000029082	300ELCC000029082	DataEnrichment	70-01-01	300	OI
Acquire & Edit		Export LC Cancellation	300ELCC000029081	300ELCC000029081	DataEnrichment	70-01-01	300	OI
Acquire & Edit	M	Export Documentary- B...	300EDCB000029080	300EDCB000029080	DataEnrichment	70-01-01	300	OI
Acquire & Edit		Export LC Cancellation	300ELCC000029068	300ELCC000029068	DataEnrichment	70-01-01	300	OI
Acquire & Edit		Export LC Cancellation	300ELCC000029069	300ELCC000029069	DataEnrichment	70-01-01	300	OI
Acquire & Edit	H	Export LC Cancellation	300ELCC000029065	300ELCC000029065	Approval Task Level 1	70-01-01	300	OI

Page 1 of 37 (1 - 20 of 731 items) K < 1 2 3 4 5 ... 37 > X

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

Menu Item Search...

Core Maintenance
Dashboard
Maintenance
Security Management
Tasks
Completed Tasks
Free Tasks
Hold Tasks
My Tasks
Search
Supervisor Tasks
Trade Finance

Refresh **Acquire** Delegate Reassign Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	
Acquire & Edit		Import Documentary U...	000IDCU000030286	000IDCU000030286	DataEnrichment	20-04-17	000	OI
Acquire & Edit		Export LC Cancellation	300ELCC000029085	300ELCC000029085	DataEnrichment	70-01-01	300	OI
Acquire & Edit		Export LC Cancellation	300ELCC000029084	300ELCC000029084	DataEnrichment	70-01-01	300	OI
Acquire & Edit		Export LC Cancellation	300ELCC000029083	300ELCC000029083	DataEnrichment	70-01-01	300	OI
Acquire & Edit		Export LC Cancellation	300ELCC000029082	300ELCC000029082	DataEnrichment	70-01-01	300	OI
Acquire & Edit		Export LC Cancellation	300ELCC000029081	300ELCC000029081	DataEnrichment	70-01-01	300	OI
Acquire & Edit	M	Export Documentary- B...	300EDCB000029080	300EDCB000029080	DataEnrichment	70-01-01	300	OI
Acquire & Edit		Export LC Cancellation	300ELCC000029068	300ELCC000029068	DataEnrichment	70-01-01	300	OI
Acquire & Edit		Export LC Cancellation	300ELCC000029069	300ELCC000029069	DataEnrichment	70-01-01	300	OI
Acquire & Edit	H	Export LC Cancellation	300ELCC000029065	300ELCC000029065	Approval Task Level 1	70-01-01	300	OI

Page 1 of 37 (1 - 20 of 731 items) K < 1 2 3 4 5 ... 37 > X

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

My Tasks

Menu Item Search...

Core Maintenance
Dashboard
Maintenance
Security Management
Tasks
Completed Tasks
Free Tasks
Hold Tasks
My Tasks
Search
Supervisor Tasks
Trade Finance

Refresh Release Flow Diagram

Ac...	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer
Edit		Import Documentary U...	000IDCU000030286	000IDCU000030286	DataEnrichment	20-04-17	000	000004
Edit	M	Import Documentary- B...	000IDCB000030049	000IDCB000030049	Registration	20-04-16	000	000947
Edit	M	Export Documentary Re...	000EDCR000029935	000EDCR000029935	Approval Task Level 1	70-01-01	000	000009

Page 1 of 1 (1 - 3 of 3 items) K < 1 > X

The Data Enrichment stage has six sections as follows:

- Main Details
- Document Details
- Other Details
- Maturity Details

- Additional Fields
- Advices
- Settlement Details
- Updated Details
- Additional Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields. Some of the fields in Application Details that are already having value from registration/online channels may not be editable.

Main Details

Main details section has two sub section as follows:

- Application Details
- Collection Details

Application Details

All fields displayed under Basic details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Import Documentary Collection Booking Update - DataEnrichment :: Application No: PK2IDCU000065193

Screen (1 / 10)

- Main Details
- Document Details
- Other Details
- Maturity Details
- Additional Fields
- Advices
- Settlement Details
- Updated Details
- Additional Details
- Summary

Main Details

Application Details

Documentary Collection Number *
PK2ISNC21125A001

Submission Mode
Desk

Process Reference Number
PK2IDCU000065193

Drawee *
001044 GOODCARE PLC

Update Date *
May 5, 2021

Remitting Bank Date/Remitting Date *
May 5, 2021

Branch
PK2-Oracle Banking Trade Finan...

Remitting Bank/Remitter
003762 CITIBANK ENGL

Version Number
2

Priority
Medium

Remitting Bank/Remitter Reference
pk2refvcd

Collection Details

Documents Received

Product Description
INCOMING DOCUMENTARY SIGHT BILL

Contract Reference Number
PK2ISNC21125A001

Finance Amount
GBP

Rebate Amount
GBP £0.00

Duplicate
☐

Operation Type *
TRF

Bill Amount *
GBP £1,000.00

Acceptance Date

Transferee Bank *
001183 RABO BANK

Tenor Type *
Sight

Stage *
INITIAL

Amount in Local Currency
GBP £1,000.00

Drawer *
003763 CITIBANK IRELA

Product Code *
ISNC

Co Acceptance Required
☐

Bill Outstanding Amount
GBP £1,000.00

Limit verification required
☐

Audit

Reject Refer Hold Cancel Save & Close Back Next

Collection Details

The fields listed under this section are same as the fields listed under the [Collection Details](#) section in [Registration](#). Refer to [Collection Details](#) for more information of the fields. During Registration, fields that were not captured during Registration can be updated in Data Enrichment stage.



Note

In case field Operation Type has value FOP (Free of Payment) that is populated from OBDX, system should retain the value and should not allow the user to edit the same.(Display only field if Submission mode is Online.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
View Collection	Enables the user to view the latest collection values displayed in the respective fields.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Document Details

This section enables the user to capture the details of the documents received.

As part of document details screen in DE stage the user can capture the Transport Document reference and document date. On click of 'Next' system should validate the value in Document Reference field against the transport document/Bill of Lading (MARDOC) against any Outstanding Shipping Guarantees issued for the drawee and is not linked to any Documentary Collection or Import Letter of Credit. System should default the Shipping Guarantee Reference in the next screen.

System to display an override if the Bill Of Lading reference mentioned in the document details screen matches with that of the Bill of Lading reference in any of the unlinked Shipping Guarantees issued for the customer.

Import Documentary Collection Booking Update - DataEnrichment - Application No: PK2IDCU000057303 Overrides View Collection Screen (2 / 10)

Main Details
Document Details
Document Details
Other Details
Maturity Details
Additional Fields
Advices
Settlement Details
Updated Details
Additional Details
Summary

Document Details
Documents Details

Document Code	Document Type	Documents Description	Document Reference	Copies Received	Originals Received	Document Date	Action
AIRDOC		AIRDOC change					☒ ☐
INSDOC		INSDOC					☒ ☐
INVDOC		INVDOC					☒ ☐
MARDOC		MARDOC					☒ ☐

Audit Reject Refer Hold Cancel Save & Close Back Next

Capture the information based on the description in the following table:

Field	Description	Sample Values
Document Code	System will default the document codes based on the product selected. User can add or delete the code by selecting the line on the grid.	
Document Type	System will default the document type based on the product selected.	
Document Description	System will populate the document description based on the document code. User can edit the description.	
Document Reference	User can capture the document reference, if available	
Copies Received	User can edit the actual copies received.	
Originals Received	User can edit the actual originals received.	
Document Date	User can edit the date on which the document is received.	
Action	Click Edit icon to edit the document code/ document date. Click Delete icon to delete the document code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
View Collection	Enables the user to view the latest collection values displayed in the respective fields.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	On click of Back, system moves to the previous stage.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Other Details

Other Details enables the user to capture details like Shipping guarantee Reference, Debit Value Date, Credit Value Date, Other Bank charges etc.

Import Documentary Collection Booking Update - DataEnrichment :: Application No: PK2IDCU000061665

Screen (3 / 10)

Other Details

Shipping Guarantee Reference Transport Document Reference Transport Document Date

Other Details

Debit Value Date Credit Value Date Value Date

Other Bank Charges

Other Bank Charges-1 Other Bank Charges-2 Other Bank Charges-3

Other Bank Interest

Start Date

Other Bank Interest-1

Component Component Description Interest Rate Interest Basis Waive

Other Bank Interest-2

Component Component Description Interest Rate Interest Basis Waive

Other Bank Interest-3

Component Component Description Interest Rate Interest Basis Waive

Audit

Reject Refer Hold Cancel Save & Close Back Next

Shipping Guarantee Reference

Field	Description	Sample Values
Shipping Guarantee Reference	Shipping Guarantee Reference is auto populated from the underlying Shipping Guarantee details, if the Transport Reference Number in the Collection document is same as the Transport Document reference in the Shipping Guarantee. User can select the Shipping Guarantee from the LOV and the Shipping Guarantee Reference Number gets populated, if the Transport Document Reference in Documentary Collection is different from the Shipping Guarantee Transport Document Reference. System to populate an override < Transport Document Reference different from the Documentary Collection and Shipping Guarantee> System provides an override < Mismatch is Transport Document date. Accept to Proceed.>, if the Transport Document Date as per the Collection Document is different from the Transport Document Date as per the Shipping Guarantee.	
Transport Document Reference	Transport Document Reference is auto populated from the underlying Shipping Guarantee details, if the Transport Reference Number in the Collection document is same as the Transport Document reference in the Shipping Guarantee. User can select the Shipping Guarantee from the LOV and the Shipping Guarantee Reference Number, Transport Document Reference gets populated, if the Transport Document Reference in Documentary Collection is different from the Shipping Guarantee Transport Document Reference.	
Transport Document Date	Transport Document Date is auto populated from the underlying Shipping Guarantee details, if the Transport Reference Number in the Collection document is same as the Transport Document reference in the Shipping Guarantee. User can select the Shipping Guarantee from the LOV and the Transport Document Date gets populated, if the Transport Document Reference in Documentary Collection is different from the Shipping Guarantee Transport Document Reference. System provides an override < Mismatch is Transport Document date. Accept to Proceed.>, if the Transport Document Date as per the Collection Document is different from the Transport Document Date as per the Shipping Guarantee.	

Other Details

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Debit Value Date	Provide the debit value date.	
Credit Value Date	Provide the credit value date.	
Value Date	Provide the value date.	

Other Bank Charges

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Other Bank Charges - 1	Charges to be collected for the other bank as part of the collection transaction.	
Other Bank Charges - 2	Charges to be collected for the other bank as part of the collection transaction.	
Other Bank Charges - 3	Charges to be collected for the other bank as part of the collection transaction.	

Other Bank Interest

The user can enter the Interest details to be captured as a part of “Other Bank Interest” details section.

Provide the other bank interest based on the description in the following table:

Field	Description	Sample Values
Start Date	Provide the date from which the system starts calculating the Interest, if other bank interest details not captured in the bill. If other bank interest details are already captured in the bill, this field is non-editable.	
Other Bank Interest-1, 2 and 3		
Component	This field displays the name of the interest Component.	
Component Description	Provide the description of the interest component.	
Interest Rate	Provide the rate to be applied for the interest component, if other bank interest details not captured in the bill. If other bank interest details are already captured in the bill, this field is non-editable.	

Field	Description	Sample Values
Interest Basis	Select the calculation basis on which the Interest to be computed, if other bank interest details not captured in the bill. If other bank interest details are already captured in the bill, this field is non-editable.	
Waive	Select whether the interest to be waived off. , if other bank interest details not captured in the bill. If other bank interest details are already captured in the bill, this field is non-editable. The options are: • Yes • No	

Maturity Details

Import Documentary Collection Booking Update - DataEnrichment :: Application No: PK2IDCU000003424

Screen (4 / 10)

Maturity Details

Draft Details

Draft Code	Draft Amount	Action
Freight charges	£1,200.00	 

Maturity and Multi Tenor Liquidation Details

S.No	Tenor Basis	Tenor Description	Start Date	Tenor Days	Transit Days	Maturity Date	Bill Amount	Liquidated Amount	Exchange Rate	Liquidation Date	Liquidation Amount	Action
1	FD		May 5 	0	0	May 5, 2021 						 

Interest From Date: May 5, 2021  Interest To Date: May 5, 2021  Acceptance Commission From Date:  Acceptance Commission To Date: 

Buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Draft Details

Update the draft details based on the description in the following table:

Field	Description	Sample Values
Draft Code	Update the draft code. Alternatively, user can search the draft details using LOV.	
Draft Amount	Update the draft amount.	
Action	Click Edit icon to edit the draft code. Click Delete icon to delete the draft code.	

Maturity and Multi Tenor Liquidation Details

In case of multi tenor, user can provide multiple maturity details by clicking the plus icon.

Field	Description	Sample Values
SNo.	Serial number of the tenor record.	
Tenor Basis	Provide the tenor basis. This field is disabled if the Tenor Type is 'Mixed'.	
Tenor Description	The tenor base code description is displayed based on the selected tenor basis. The user to can edit the tenor description.	
Start Date	Select the start date.	
Tenor Days	Provide the tenor basis. This field is disabled if the Tenor Type is 'Mixed'.	
Transit Days	Provide the tenor basis. This field is disabled if the Tenor Type is 'Mixed'.	
Maturity Date	Maturity date as Start date + Tenor Days or Transit Days. This field is disabled if the Tenor Type is 'Mixed'	
Bill Amount	Provide the bill amount.	
Liquidated Amount	If a liquidation has already happened in the bill, system should display the Liquidated amount. System should validate that the Total Liquidation Amount is not greater than the Bill amount and should display an Error Message	
Exchange Rate	Provide the Exchange Rate.	
Liquidation Date	Provide the liquidation date.	
Liquidation Amount	User to input the Liquidation Amount.	
Action	Click Edit icon to edit the tenor record. Click Delete icon to delete the tenor record.	

Field	Description	Sample Values
Interest from Date	Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date.	
Interest to Date	Maturity date is auto-populated in this filed.	
Accept Commission From Date	Provide the accept commission from date.	

Field	Description	Sample Values
Accept Commission To Date	Provide the accept commission to date.	

Additional Fields

Banks can configure user defined fields as per their requirement in the Additional Fields Screen.

The screenshot displays the 'Additional Fields' configuration screen in the Oracle Flexcube Universal Banking system. The left sidebar contains a navigation menu with the following items: Main Details, Consolidated Update, Additional Fields (highlighted), Advices, Additional Details, Settlement Details, Updated Details, and Summary. The main content area is titled 'Additional Fields' and shows a message: 'No Additional fields configured!'. The top of the screen features a header with the Oracle logo, 'My Tasks', the application name 'FLEXCUBE UNIVERSAL BANKING' with the date 'Jan 1, 2014', and the user 'SRIDHAR01' with email 'subham@gmail.com'. Below the header, there are buttons for 'Documents', 'Remarks', and 'View Collection'. At the bottom of the screen, there is a row of action buttons: 'Audit', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Advices

Advices menu displays the advices from the back office as tiles. User can update the fields in the tile for details to be captured in the advices. User can suppress the advice. All advices including Remittance Letter should be generated in the back office and user has to attach the Remittance letter (cover letter) with the import documents and forward the same to the Transferee bank.



In case of Operation Type is FOP, Advice should be generated for the Drawer (Mail Advice) and the Collecting Bank (MT499). In Advices, SWIFT 499 – FREEOFFPAYMENT_ADVICE user should attach the FOP instruction and system should validate the same.

Import Documentary Collection Booking Update - DataEnrichment :: Application No: PK2IDCU000065193

Screen (6 / 10)

Advices

Advice : ACKNOWLEDGE...	Advice : PAYMENT_MESS...
Advice Name : ACKNOWLEDGEMENT Advice Party : REMITTING BANK Party Name : CITIBANK ENGLAND Suppress : NO Advice	Advice Name : PAYMENT_MESSAGE Advice Party : Party Name : Suppress : NO Advice

Buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Additional Details

A Data Enrichment user can enter basic additional details available in the Islamic Import Collection Update.

Islamic Import Documentary Collection Booking Update
DataEnrichment :: Application No:- PK2IDU000011150

Screen (8 / 10)

Additional Details

Limit & Collateral	Charge Details	Preview Message	Payment Details
Limit Currency : Limit Contribution : Limit Status : Collateral Currency : GBP Collateral : 1100 Contribution : Collateral Status :	Charge : Commission : Tax : Block Status :	Language : Preview Message :-	Allow Rollover : No Advance by Finance : No Liquidate using : No Collateral
FX Linkage	Finance Preferences	Tracer Details	Linked Finance Details
Reference Number : Currency : Contract Amount :	Finance Product : LinkageRefNo : Finance Tenor Finance : Currency : Finance Amount : Finance Maturity Date :	Payment Tracer : No Acceptance Tracer : No Charges Details : No	Finance Account : Finance Currency : Finance Amount :

Buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Limits & Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Limits and Collaterals

Limit Details

	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
☐	000327		100	USD	\$100.00			000327	

Cash Collateral Details

Collateral Percentage *
67.0

Collateral Currency and amount
USD
\$67.00

Exchange Rate
1

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response
1	GBP	PK1000327018	1.3	100	\$67.00	0	VS	The arr can be

Deposit Linkage Details

	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
☐	PK2CDP1210860501	GBP	2022-03-27	GBP	199100	\$990.00	PK2CDP1210860501	

Page 1 of 1 (1 of 1 items)

Limit Details

Customer Id
001044

Contribution % *
100.0

Contribution Currency
GBP

Limit Currency
GBP

Limit Check Response
Available

Expiry Date

Verify

Line ID *
001044

Limits Description

Contribution Amount *
£2,200.00

Limit Available Amount
£999,528,418,464.36

Response Message
The Earmark can be performed as the f

Save & Close
Close

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Field	Description	Sample Values
Customer ID	Read only field. Customer ID: Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	Read only field. LINE ID-DESCRIPTION will be available along with Line ID.	
Contribution	System will default this to 100%.	
Contribution Currency	The Bill currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	



Note

This section is applicable only for the bills that are co-accepted.

This section displays the collateral details:

Collateral Details

Total Collateral Amount *

\$67.00

Sequence Number

2.0

Collateral Contribution Amount *

\$67.00

Settlement Account Currency

GBP

Contribution Amount in Account Currency

£0.00

Response

VS

Verify

Collateral Amount to be Collected *

\$0.00

Collateral Split % *

100.0

▼ ▲

Settlement Account *

PK1000327018

🔍

Exchange Rate

1.3

▼ ▲

Account Available Amount

£99,999,393,343.91

Response Message

The amount block can be performed as

✓ Save & Close

✕ Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	

Field	Description	Sample Values
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	This field displays the details of settlement account for the collateral.	
Settlement Account Currency	This field displays the Settlement Account Currency.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selected.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the Verify button.	
Response Message	Detailed Response message. System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Field	Description	Sample Values
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified."	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link 	Click edit link to edit any existing Collateral Details.	



Note

This section is applicable only for the bills that are co-accepted.

Commission, Charges and Taxes

This section displays charge details:

Commission, Charges and Taxes ×

Recalculate Rerefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									
Page 1 (0 of 0 items) < 1 >									

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										
Page 1 (0 of 0 items) < 1 >										

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
-----------	------	------------	----------	--------	---------	-------	--------------------

Save & Close Cancel

This section displays the commission details:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	This field displays the commission component.	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	

Field	Description	Sample Values
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Message Preview

This section displays the preview message of MT412, if acceptance is involved.

Preview Message

Preview - SWIFT Message

Language

English

Message Type

Preview Message

Preview - Mail Advice

Language

English

Advice Type

Preview Message

Save & Close

Close

FX Linkage

This section enables the user to link the existing FX contract(s) to the bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

Provide the FX linkage detail based on the description in the following table:

FX Linkage

FX Linkage

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1200760501	EUR	GBP	US\$10,000.00	1.33	US\$10,000.00			28-Jun-2020	

Page 1 of 1 (1 of 1 items) < 1 >

Average FX Rate
0

Save & Close Cancel

FX Linkage

FX Reference Number *

PK2FXF1200762005

Currency

GBP

Contract Amount

GBP £1,000.00

Available Contract Amount

GBP £1,000.00

Linkage Amount *

GBP £1,000.00

Rate

1.33

Amount in Contract Currency

1000

FX Expiry Date

Jun 28, 2020

FX Delivery Period From

FX Delivery Period To

Save & Close Close

Field	Description	Sample Values
FX Reference Number	Select the FX contract reference number from the LOV. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows, - Counterparty of the FX contract should be the counterparty of the Bill contract. - Active Forward FX transactions authorized not marked for auto liquidation. Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.	
Bought Currency	This field displays the currency from the linked FX contract.	

Field	Description	Sample Values
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Rate	This field displays the rate at which the contract is booked.	
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.	
Current Utilized amount	This field displays the liquidated /purchased / discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

Payment Details

Payment Details

PaymentDetails

Auto Liquidate
☐

Allow Rollover
☐

Auto Change from Acceptance to Advance
☐

Liquidate using Collateral
☐

Outstanding Collateral Amount
GBP

Split Settlement
☒

Settlement Details - Liquidation

Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency
BILL_LIQ_AMT	GBP	Debit	PK1000325036	NATIONAL FREIGHT CORP	PK1	GBP
BILL_LIQ_AMTEQ	GBP	Credit	PK1000327018	FIXNETIX	PK1	GBP
BILL_LIQ_AMT	GBP	Debit	PK1000325036	NATIONAL FREIGHT CORP	PK1	GBP

Page 1 of 2 (1-5 of 6 items)

Save & Close Close

Split Settlement

Component
Contract Currency
Amount

No data to display.

Page 1 (0 of 0 items)

Fetch Exchange Rate

Split Settlement Details

Sequence	Amount	Settlement Account	Account Customer	Account Currency	Account Branch	Exchange Rate	Action
1	120	PK1000331017	000331	GBP	PK1	1	

Save & Close Close

Select the payment details options based on the description in the following table:

Field	Description	Sample Values
Auto Liquidate	Select Auto Liquidate check box, if required. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.	
Advance by Finance	Select Advance by Loan check box, if required. Advance by Loan enables creation of loan at the time of Final liquidation.	
Allow Roll over	Select Allow Rollover check box, if required.	
Auto Change from Acceptance to Advance	Select Auto Change from Acceptance to Advance, if required. This flag indicates whether an Acceptance type of bill should be automatically converted into an Advance type of bill on its liquidation date. Note This option is applicable only for the bills that are co-accepted by the bank.	

Field	Description	Sample Values
Outstanding Collateral Amount	Select Auto Liquidate check box, if required. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.  Note Cash collateral is applicable only for the bills which are co-accepted by the bank.	
Liquidate using Collateral	Select Liquidate using Collateral check box, if required.	
Protest Date	Select the protest date.	
Split Settlement	Toggle On: Enables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill Toggle Off: Disables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill	Disable
Settlement Details - Liquidation		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays debit/ credit for the component.	
Account	Customer account.	
Account Description	Description of the account.	
Branch	Branch of the customer's account.	
Account Currency	Currency of the account.	
Split Settlement		
Component	Components gets defaulted based on the product selected.	
Contract Currency	Application displays the default currency for the component.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	
Split Settlement Details		
Sequence	Sequence of the settlement details.	

Field	Description	Sample Values
Amount	Amount for the split settlement.	
Settlement Account	Details of the settlement account.	
Account Customer	Customer account.	
Account Currency	Currency of the account.	
Account Branch	Branch of the customer's account.	
Exchange Rate	Exchange rate for the split settlement.	
Action	Click Edit icon to edit the split settlement details record. Click Delete icon to delete the split settlement details record.	

Tracers

This section enables the user to view the defaulted tracer details from back end application. It also allows the user to add new tracer details. Add new tracer details based on the description in the following table:

Acknowledgement Tracer, Acceptance Tracer and Payment Tracer are applicable for this process.

Tracer Details

Payment Tracer

Tracer Required
☐

Number of Tracers

Tracer Frequency
Weekly

Tracer Medium
EMAIL

Tracer Receiver Party
PREV DRAWEE

Tracer Start Date
May 4, 2019

Template ID
ACK_TRACER

Acceptance Tracer

Tracer Required
☐

Number of Tracers

Tracer Frequency

Tracer Medium
SWIFT

Tracer Receiver Party
NEGOTIATING BANK

Tracer Start Date
Jul 5, 2019

Template ID
NTF_FOR_NEXN

Save & Close
Close

Field	Description	Sample Values
Tracer Required	Select if Tracer is required or not.	
Number of Tracers	Provide the number tracers required.	
Tracer Frequency	Select the frequency for generation of the tracer.	
Tracer Medium	Select the tracer medium from the LOV.	
Tracer Receiver Party	Select the tracer receiver party from the LOV.	
Tracer Start Date	Select the tracer start date. Start date cannot be earlier than the branch date.	
Template ID	Select the template ID from LOV.	

Finance Preference

This section enables the user to link a finance to liquidate the document under collection. This section will be enabled based on the product selected for documents under collection.

Finance Preferences

Finance Preferences

Drawing/Collection Ref
PK2DCN821125A15Q

Product Code

Finance Tenor Units

Finance Value Date

Bill Currency-Amount
GBP £998.00

Finance Currency-Amount

Tenor Type

Finance Maturity Date

Customer Id
000325

Original Exchange Rate

Rate Type

Customer Name
NATIONAL FREIGHT CORP

Exchange Rate

Rate Code

Finance Profit/UDE Details

User Defined Element ID	UDE Description	Rate Code	Usage	UDE Value
No data to display.				

Limits

Linkage Type	Linkage Reference Number	Linked Percentage	Limit Amount
No data to display.			

Save & Close
Close

Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Drawing/Collection Ref	Defaults from the underlying task. User cannot change the value.	
Bill Currency-Amount	Outstanding Drawing/ Collection Currency and Amount. Defaults from the underlying task. User cannot change the value.	
Customer Id	Defaults from the underlying task. User cannot change the value.	
Customer Name	Applicant/ Drawee Name. Defaults from the underlying task. User cannot change the value.	
Product Code	Defaults from the underlying Collection/ Drawing Product maintenance. User cannot change the value.	
Finance Currency-Amount	Finance currency is defaulted from the bill currency. User can change this to Local Currency. System should display an error message on tab out if the currency selected is not a Bill currency or Local Currency. System populates the loan account based on the outstanding drawing/ Collection amount less the collateral amount. User cannot change the Loan amount.	

Field	Description	Sample Values
Exchange Rate	Exchange rate applicable for Local Currency. System displays the Exchange rate from maintenance. User cannot change the value. System validates the exchange rate is within the allowed range.	
Finance Tenor Units	Period of loan. System defaults the value. User can change the value. The numerical value for Days or months or Years is applicable.	
Tenor Type	System defaults the value. User can change the value. Values are Days, Months and Years. The numerical value for Days or months or Years is applicable.	
Finance Value Date	System defaults the branch date as Value date. User cannot change the value.	
Finance Maturity Date	Finance maturity date as default based on Tenor type and Tenor units. User cannot change the value.	
Liquidate Charge/Comm On LC	Toggle On: Turn the toggle on to include the outstanding Commission/charge to be included as part of Liquidation.	
Finance Profit/UDE Details		
User Defined Element ID	System populates the UDE Element ID as part of simulation. User are allowed to change the selection through LOV.	
UDE Description	System populates the UDE description as part of simulation. If a user changes the UDE ID, system should populate the description.	
Rate Code	System populates the Rate code as part of simulation.	
Usage	System populates the details as part of simulation.	
UDE Value	System populates the value as part of simulation.	
Limits		
Serial Number	System defaults the value.	
Linkage Type	System defaults the linkage type as "Facility" from back office.	
Linkage Reference Number	System defaults the Linkage reference as part of simulation. User can change the value. Linkages available for the customer should be displayed for selection.	

Field	Description	Sample Values
Linked Percentage	User can enter the value. Maximum is 100. User cannot enter negative values.	
Limit Amount	System defaults the value. System should populate the value based on the contribution percentage.	

Linked Finance Details

This user can view the details of linked finance accounts.

Linked Finance Details

Linked Finance Details

Finance Account	Finance Currency	Finance Amount
-----------------	------------------	----------------

No data to display.

Cancel

Provide the finance preference details based on the description in the following table:

Field	Description	Sample Values
Finance Account	The details of the linked loan account.	
Finance Currency	Loan Currency of the linked loan account.	
Finance Amount	Loan amount of the linked loan account.	

Profit Details

The user can view and modify the Profit Details Simulated from Back Office system.

Profit Details

Recalculate
Redefault

Component	Component Description	Rate Type	Rate Code	Min Spread	Max Spread	Spread	Min Rate	Max Rate	Rate	Modified Rate	Special Pricing Reference Number	Currency	Amount
-----------	-----------------------	-----------	-----------	------------	------------	--------	----------	----------	------	---------------	----------------------------------	----------	--------

No data to display.

Save & Close
Close

Field	Description	Sample Values
Component	This field displays the component maintained in the back office.	
Component Description	This description of the component.	
Rate Type	System defaults the rate type maintained for the component in back office. Value can be: • Fixed Floating • Special	
Rate Code	This field displays the rate code applicable for the Componen.	
Min Spread	This field displays the minimum spread applicable for the Rate Code. This field have value only if the Rate Type is Floating .	
Max Spread	This field displays the maximum spread applicable for the Rate Code. This field have value only if the Rate Type is Floating .	
Spread	This field displays the spread applicable for the Component in case of Floating Rate Component. User can change the defaulted value. System validates whether the spread input is within the Minimum to Maximum Spread.	
Min Rate	This field displays the minimum rate applicable for the Rate Code.	
Max Rate	This field displays the maximum rate applicable for the Rate Code.	
Rate	This field displays the value applicable for the Rate Code. User can change the value if the Rate Type is Fixed . System validates whether the Rate input is between the Minimum and Maximum Rate.	
Modified Rate	This field displays the modified rate.	
Currency	System defaults the Interest currency in this field.	

Field	Description	Sample Values
Amount	User can input the interest amount, in case the Rate Type is Special , else user can not be able to input the amount. In such cases, the amount will be calculated by back office immediately only if the Interest is collected in Advance or if Back Dated Interest is collected. In that scenario, the Amount will be populated on Re-simulation from back office. Otherwise Interest will be calculated only in the batch as maintained.	
Waive	User can waive the Interest applicable. System displays an override, if the user has waived the Interest.	
Charge Party	System displays the Charge Party based on the type of transaction. In case of Export Transactions, Drawer should be the defaulted Charge Party for Collection Bills and Beneficiary for the LC Bills. In case of Import Transactions, Drawee should be the Charge Party for Collection and Applicant for the LC Bills..	
Settlement Account	System defaults the Settlement Account of the Charge Party for debit of Interest. User can change the value. System should display an error if a different customer is chosen. If different account of the Charge Party is selected, system should display a override. In case the user modifies the Interest Rate, the user should click on Recalculate button to get the modified amount from the back office and display the new Amount.(Recalculation is done in back office and not in OBTFPM)..	

Settlement Details

Import Documentary Collection Booking Update - DataEnrichment :: Application No: PK2IDCU000061665

Overrides View Collection

Screen (7 / 10)

- Main Details
- Document Details
- Other Details
- Maturity Details
- Additional Fields
- Advices
- Settlement Details**
- Updated Details
- Additional Details
- Summary

Settlement Details

☐ Current Event

Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
BCCOUR_LIQD	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP		
BCOPNCG_LIQD	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP		
BCSWIFT_LIQD	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP		
BILL_AMOUNT	EUR	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	
BILL_AMT_EQUIV	EUR	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	
BILL_FX_LEQV	EUR	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	
BILL_FX_LIQD	EUR	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	
BILL_LIQD_AMT	EUR	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	
BILL_LIQD_AMTEQ	EUR	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	
BKTAX_AMT	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP		

Audit

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Current Event	System displays the current event as Y or N.	

Updated Details

This section enables the user to view the changes made to the Import Documentary Collection Update Booking.

ORACLE My Tasks (DEFAULTENTITY) (PK2) May 6, 2019 SRIDHAR02 subham@gmail.com

Import Documentary Collection Booking Update - DataEnrichment :: Application No: PK2IDCU000057312

Updated Details Screen (8 / 10)

Documentary Collection - Updated Details

Field Name	Updated Value	Value as per Bill Booking
	001044	001185
	PK25GLC190818KQF	

Party Details

Party Type	Party ID	Customer Ref No	Address1	Address2	Country	Status
No data to display.						

Fx Linkage

FX Reference Number	Contract Available Amount	Status
No data to display.		

Loan Preference

	Credit Line	Loan Tenor Units	Status
No data to display.			

Tracer Details

Tracer Type	Tracer Required	Tracer Received Party	Tracer Medium	Tracer Frequency	Status
Acceptance	N			NONE	Modify
Payment	N			NONE	Modify

Buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Additional Details

Import Documentary Collection Booking Update - DataEnrichment :: Application No: PK2IDCU000061665

Additional Details Screen (9 / 10)

Charge Details	Preview Message	Payment Details	FX Linkage
Charge : EUR 350 Commission : Tax : EUR 13.79 Block Status :	Language : Preview Message : -	Allow Rollover : Advance by Loan : Liquidate using : Collateral :	Reference Number : Currency : Contract Amount :
Interest Details	Loan Preferences	Tracer Details	Linked Loan Details
Component : IBC_ACP_IN Amount : 0.85 Event : INIT	Loan Product : LinkageRefNo : Loan Tenor Loan : Currency : Loan Amount : Loan Maturity Date :	Payment Tracer : No Acceptance Tracer : No Charges Details : No	Loan Account : Loan Currency : Loan Amount :

Buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Limits & Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Limits and Collaterals

Limit Details

	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
☐	000327		100	USD	\$100.00			000327	

Cash Collateral Details

Collateral Percentage *
67.0

Collateral Currency and amount
USD
\$67.00

Exchange Rate
1

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response
1	GBP	PK1000327018	1.3	100	\$67.00	0	VS	The arr can be

Deposit Linkage Details

	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
☐	PK2CDP1210860501	GBP	2022-03-27	GBP	199100	\$990.00	PK2CDP1210860501	

Page 1 of 1 (1 of 1 items)

Limit Details

Customer Id
001044

Contribution % *
100.0

Contribution Currency
GBP

Limit Currency
GBP

Limit Check Response
Available

Expiry Date

Verify

Line ID *
001044

Limits Description

Contribution Amount *
£2,200.00

Limit Available Amount
£999,528,418,464.36

Response Message
The Earmark can be performed as the f

Save & Close
Close

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	
Limit Details Click + plus icon to add new limit details. Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.		
Customer ID	Read only field. Customer ID: Applicant's/Applicant Bank customer ID will get defaulted.	

Field	Description	Sample Values
Line ID	Read only field. LINE ID-DESCRIPTION will be available along with Line ID.	
Contribution	System will default this to 100%.	
Contribution Currency	The Bill currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	



Note

This section is applicable only for the bills that are co-accepted.

This section displays the collateral details:

Collateral Details

Total Collateral Amount *

\$67.00

Sequence Number

2.0

Collateral Contribution Amount *

\$67.00

Settlement Account Currency

GBP

Contribution Amount in Account Currency

£0.00

Response

VS

Verify

Collateral Amount to be Collected *

\$0.00

Collateral Split % *

100.0

▼ ▲

Settlement Account *

PK1000327018

🔍

Exchange Rate

1.3

▼ ▲

Account Available Amount

£99,999,393,343.91

Response Message

The amount block can be performed as

✓ Save & Close

✕ Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	
Click + plus icon to add new collateral details.		
Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.		
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	

Field	Description	Sample Values
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. Selected collateral type will be displayed in this field.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	This field displays the details of settlement account for the collateral.	
Settlement Account Currency	This field displays the Settlement Account Currency.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selected.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Collateral %	This field displays the percentage of collateral.	
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	

Field	Description	Sample Values
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Commission Charges and Taxes Details

This section displays commission, charges and taxes details:

Commission,Charges and Taxes

Recalculate
Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1 (0 of 0 items)

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										

Page 1 (0 of 0 items)

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
-----------	------	------------	----------	--------	---------	-------	--------------------

Save & Close
Cancel

This section displays the commission details:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	This field displays the commission component.	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	

Field	Description	Sample Values
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Message Preview

This section displays the preview message of MT412, if acceptance is involved.

Preview Message

Preview - SWIFT Message

Language
English

Message Type

Preview Message

Preview - Mail Advice

Language
English

Advice Type

Preview Message

Save & Close Close

FX Linkage

This section enables the user to link the existing FX contract(s) to the bill. User can link one or more FX deals to a bill. The linked value of an FX deal(s) must not exceed the value of the bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

Provide the FX linkage detail based on the description in the following table:

FX Linkage

FX Linkage

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1200760501	EUR	GBP	US\$10,000.00	1.33	US\$10,000.00			28-Jun-2020	

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Average FX Rate
0

Save & Close Cancel

FX Linkage

FX Reference Number *

PK2FXF1200762005

Currency

GBP

Contract Amount

GBP £1,000.00

Available Contract Amount

GBP £1,000.00

Linkage Amount *

GBP £1,000.00

Rate

1.33

Amount in Contract Currency

1000

FX Expiry Date

Jun 28, 2020

FX Delivery Period From

FX Delivery Period To

Save & Close Close

Field	Description	Sample Values
FX Reference Number	Select the FX contract reference number from the LOV. On select and save and close, system defaults the available amount, bot currency, sold currency and rate. Forward FX Linkage available for selection at bill would be as follows, - Counterparty of the FX contract should be the counterparty of the Bill contract. - Active Forward FX transactions authorized not marked for auto liquidation. Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.	
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	

Field	Description	Sample Values
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Rate	This field displays the rate at which the contract is booked.	
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.	
Current Utilized amount	This field displays the liquidated /purchased / discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

Payment Details

Payment Details

PaymentDetails

Auto Liquidate

Advance by Loan

Allow Rollover

Auto Change from Acceptance to Advance

Liqudate using Collateral

Outstanding Collateral Amount

USD

Protest Date

Split Settlement

Settlement Details - Liquidation

Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency
BILL_LIQ_AMT	USD	R	PK20012020023	ROBERT INC	PK2	USD
BILL_LIQ_AMTEQ	USD	R	PK20012020023	ROBERT INC	PK2	USD

Page 1 (1 of 2 items)

Split Settlement

Component	Contract Currency	Amount
No data to display.		

Page 1 (0 of 0 items)

Split Settlement Details

Fetch Exchange Rate

Sequence	Amount	Settlement Account	Account Customer	Account Currency	Account Branch	Exchange Rate	Action
1	1200	PK20062170026	006217	GBP	PK2		

Page 1 (1 of 1 items)

Save & Close

Close

Select the payment details options based on the description in the following table:

Field	Description	Sample Values
Auto Liquidate	Select Auto Liquidate check box, if required. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.	
Advance by Loan	Select Advance by Loan check box, if required. Advance by Loan enables creation of loan at the time of Final liquidation.	
Allow Roll over	Select Allow Rollover check box, if required.	
Auto Change from Acceptance to Advance	Select Auto Change from Acceptance to Advance, if required. This flag indicates whether an Acceptance type of bill should be automatically converted into an Advance type of bill on its liquidation date.  Note This option is applicable only for the bills that are co-accepted by the bank.	

Field	Description	Sample Values
Outstanding Collateral Amount	Select Auto Liquidate check box, if required. Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.  Note Cash collateral is applicable only for the bills which are co-accepted by the bank.	
Liquidate using Collateral	Select Liquidate using Collateral check box, if required.	
Protest Date	Select the protest date.	
Split Settlement	Toggle On: Enables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill Toggle Off: Disables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill	Disable
Settlement Details - Liquidation		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays debit/ credit for the component.	
Account	Customer account.	
Account Description	Description of the account.	
Branch	Branch of the customer's account.	
Account Currency	Currency of the account.	
Split Settlement		
Component	Components gets defaulted based on the product selected.	
Contract Currency	Application displays the default currency for the component.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	
Split Settlement Details		
Sequence	Sequence of the settlement details.	

Field	Description	Sample Values
Amount	Amount for the split settlement.	
Settlement Account	Details of the settlement account.	
Account Customer	Customer account.	
Account Currency	Currency of the account.	
Account Branch	Branch of the customer's account.	
Exchange Rate	Exchange rate for the split settlement.	
Action	Click Edit icon to edit the split settlement details record. Click Delete icon to delete the split settlement details record.	

Tracers

This section enables the user to view the defaulted tracer details from back end application. It also allows the user to add new tracer details. Add new tracer details based on the description in the following table:

Acknowledgement Tracer, Acceptance Tracer and Payment Tracer are applicable for this process.

Tracer Details

Payment Tracer

Tracer Required
☐

Number of Tracers

Tracer Frequency
Weekly

Tracer Medium
EMAIL

Tracer Receiver Party
PREV DRAWEE

Tracer Start Date
May 4, 2019

Template ID
ACK_TRACER

Acceptance Tracer

Tracer Required
☐

Number of Tracers

Tracer Frequency

Tracer Medium
SWIFT

Tracer Receiver Party
NEGOTIATING BANK

Tracer Start Date
Jul 5, 2019

Template ID
NTF_FOR_NEXN

Save & Close
Close

Field	Description	Sample Values
Tracer Required	Select if Tracer is required or not.	
Number of Tracers	Provide the number tracers required.	
Tracer Frequency	Select the frequency for generation of the tracer.	
Tracer Medium	Select the tracer medium from the LOV.	
Tracer Receiver Party	Select the tracer receiver party from the LOV.	
Tracer Start Date	Select the tracer start date. Start date cannot be earlier than the branch date.	
Template ID	Select the template ID from LOV.	

Loan Preference

This section enables the user to link a loan to liquidate the document under collection. This section will be enabled based on the product selected for documents under collection.

Loan Preferences

Loan Preferences

Drawing/Collection Ref

Bill Currency-Amount

Customer Id

Customer Name

Product Code

Loan Currency-Amount

Exchange Rate

Loan Tenor Units

Tenor Type

Loan/Finance Value Date

Loan Maturity Date

Loan Interest/UDE Details

User Defined Element ID	UDE Description	Rate Code	Usage	UDE Value
No data to display.				

Limits

Linkage Type	Linkage Reference Number	Linked Percentage	Limit Amount
No data to display.			

Save & Close
Close

Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Drawing/Collection Ref	Defaults from the underlying task. User cannot change the value.	
Bill Currency-Amount	Outstanding Drawing/ Collection Currency and Amount. Defaults from the underlying task. User cannot change the value.	
Customer Id	Defaults from the underlying task. User cannot change the value.	
Customer Name	Applicant/ Drawee Name. Defaults from the underlying task. User cannot change the value.	
Product Code	Defaults from the underlying Collection/ Drawing Product maintenance. User cannot change the value.	
Loan Currency-Amount	Loan currency is defaulted from the bill currency. User can change this to Local Currency. System should display an error message on tab out if the currency selected is not a Bill currency or Local Currency. System populates the loan account based on the outstanding drawing/ Collection amount less the collateral amount. User cannot change the Loan amount.	

Field	Description	Sample Values
Exchange Rate	Exchange rate applicable for Local Currency. System displays the Exchange rate from maintenance. User cannot change the value. System validates the exchange rate is within the allowed range.	
Loan Tenor Units	Period of loan. System defaults the value. User can change the value. The numerical value for Days or months or Years is applicable.	
Tenor Type	System defaults the value. User can change the value. Values are Days, Months and Years. The numerical value for Days or months or Years is applicable.	
Loan/Finance Value Date	System defaults the branch date as Value date. User cannot change the value.	
Loan Maturity Date	Loan maturity date as default based on Tenor type and Tenor units. User cannot change the value.	
Liquidate Charge/Comm On LC	Toggle On: Turn the toggle on to include the outstanding Commission/charge to be included as part of Liquidation.	
Loan Interest/UDE Details		
User Defined Element ID	System populates the UDE Element ID as part of simulation. User are allowed to change the selection through LOV.	
UDE Description	System populates the UDE description as part of simulation. If a user changes the UDE ID, system should populate the description.	
Rate Code	System populates the Rate code as part of simulation.	
Usage	System populates the details as part of simulation.	
UDE Value	System populates the value as part of simulation.	
Limits		
Serial Number	System defaults the value.	
Linkage Type	System defaults the linkage type as "Facility" from back office.	
Linkage Reference Number	System defaults the Linkage reference as part of simulation. User can change the value. Linkages available for the customer should be displayed for selection.	

Field	Description	Sample Values
Linked Percentage	User can enter the value. Maximum is 100. User cannot enter negative values.	
Limit Amount	System defaults the value. System should populate the value based on the contribution percentage.	

Linked Loan Details

This user can view the details of linked loan accounts.

Linked Loan Details

Linked Loan Details

Loan Account	Loan Currency	Loan Amount
--------------	---------------	-------------

No data to display.

Close

Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Loan Account	The details of the linked loan account.	
Loan Currency	Loan Currency of the linked loan account.	
Loan Amount	Loan amount of the linked loan account.	

Interest Details

The user can view and modify the Interest Details Simulated from Back Office system.

Interest Details

Recalculate
Redefault

Interest Details

Component	Component Description	Rate Type	Rate Code	Min Spre...	Max Spread	Spread	Min Rate	Max Ra...	Rate	Modified Rate	Currency	Amou...	Waive	Charge Par...	Settlement Account
IBC_ACP_IN	BC IMP ACCEPTANCE COMMISSION	Fixed					2	16	6.2		EUR	€0.85	☐	Applicant	PK1000325025

Save & Close
Close

Field	Description	Sample Values
Component	This field displays the component maintained in the back office.	
Component Description	This description of the component.	
Rate Type	System defaults the rate type maintained for the component in back office. Value can be: • Fixed Floating • Special	
Rate Code	This field displays the rate code applicable for the Componen.	
Min Spread	This field displays the minimum spread applicable for the Rate Code. This field have value only if the Rate Type is Floating .	
Max Spread	This field displays the maximum spread applicable for the Rate Code. This field have value only if the Rate Type is Floating .	
Spread	This field displays the spread applicable for the Component in case of Floating Rate Component. User can change the defaulted value. System validates whether the spread input is within the Minimum to Maximum Spread.	
Min Rate	This field displays the minimum rate applicable for the Rate Code.	
Max Rate	This field displays the maximum rate applicable for the Rate Code.	
Rate	This field displays the value applicable for the Rate Code. User can change the value if the Rate Type is Fixed . System validates whether the Rate input is between the Minimum and Maximum Rate.	
Modified Rate	This field displays the modified rate.	
Currency	System defaults the Interest currency in this field.	

Field	Description	Sample Values
Amount	User can input the interest amount, in case the Rate Type is Special , else user can not be able to input the amount. In such cases, the amount will be calculated by back office immediately only if the Interest is collected in Advance or if Back Dated Interest is collected. In that scenario, the Amount will be populated on Re-simulation from back office. Otherwise Interest will be calculated only in the batch as maintained.	
Waive	User can waive the Interest applicable. System displays an override, if the user has waived the Interest.	
Charge Party	System displays the Charge Party based on the type of transaction. In case of Export Transactions, Drawer should be the defaulted Charge Party for Collection Bills and Beneficiary for the LC Bills. In case of Import Transactions, Drawee should be the Charge Party for Collection and Applicant for the LC Bills..	
Settlement Account	System defaults the Settlement Account of the Charge Party for debit of Interest. User can change the value. System should display an error if a different customer is chosen. If different account of the Charge Party is selected, system should display a override. In case the user modifies the Interest Rate, the user should click on Recalculate button to get the modified amount from the back office and display the new Amount.(Recalculation is done in back office and not in OBTFPM)..	

Summary

User can review the summary of details updated in Data Enrichment stage of Import Documentary Collection Update Booking request.

The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

Screen (10 / 10)

- Main Details
- Document Details
- Other Details
- Maturity Details
- Additional Fields
- Advices
- Settlement Details
- Updated Details
- Additional Details
- Summary**

Summary

Main Details Booking Date : 2021-05-05 Submission Mode : Desk Bill Amount : GBP 1000	Maturity Details Tenor Type : Sight	Document Details Document 1 : AIRDOC	Other Details Value Date : 2021-05-05 Debit Value Date : 2021-05-05 Credit Value Date : 2021-05-05
Additional Fields Click here to view : Additional fields	Advices Advice 1 : ACKNOWLEDG Advice 2 : PAYMENT_ME	Limits and Collaterals Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Commission,Charges and Taxes Charge : Commission : Tax : Block Status : Not Initia
Preview Messages Language : ENG Preview Message : -	Payment Details Advance by Loan : No Allow Rollover : No Liquidate using Collateral :	FX Linkage Reference Number : Contract Amount : Contract Currency :	Loan Preferences Loan Product : Linkage Ref. No. : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity :
Tracer Details Payment Tracer : No Acceptance Tracer : No Confirmation Tracer : No Charge Tracer : No	Settlement Details Component : LQTAX_AMT Account Number : PK20010440 Currency : GBP	Updated Details Click here to view : Amended/Updated Details	Parties Details Drawer : CITIBANK I RemittingBank : CITIBANK E Drawee : GOODCARE PLC
Compliance details KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Accounting Details Event : Account Number : Branch :	Linked Loan Details Loan Account : Loan Currency : Loan Amount :	Interest Details Component : Amount : Event :

Audit

Reject

Refer

Hold

Cancel

Save & Close

Back

Next

Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and collection details.
- Party Details - User can view party details like remitting bank, drawee, drawer etc.
- Maturity Details - User can view the maturity details in case usance and multi tenor.
- Documents - User can view the document details.
- Shipment Details - User can view the shipment details.
- FX Linkage - User can view the details of FX Linkage.
- Updated Details - User can view the details updated to the Import Collection.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Tracers - User can view the tracer details.
- Message Preview - User can view the preview of the simulated messages to remitting bank.
- Other Preferences - User can view the details of the preferences selected.
- Accounting Details - User can view the accounting entries generated by back office system.

**Note**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “ Value Date is different from Transaction Date for one or more Accounting entries.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
View Collection	Enables the user to view the latest collection values displayed in the respective fields.	
Submit	Task will move to next logical stage of Import Documentary Collection Update Booking. If mandatory fields have not been captured, system will display an error message highlighting that the mandatory fields have to be updated. In case of duplicate documents' system will terminate the process after handing off the details to back office.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	

Exceptions

The Import Collection Update Booking request, before the task moves to the approval stage, the application will validate the Amount Block, KYC and AML. A failure in validation of any of them, the task will reach exception stage for further approval for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create an amount block. On hand-off, system will debit the blocked account to the extent earmark and credit charges/ commission account in case of charges block or credit the amount in suspense account for earmarks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of updated available fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Block Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Import Collection Update Booking Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions will be listed in your queue. Open the task, to see summary tiles that display a summary of available updated fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Document Details - User can view document details.
- Draft Details - User can view the draft details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Advices - User can view the advices.
- Payment Details - User can view the payment details.
- FX Linkage - User can view the FX Linkage details.
- Settlement Details - User can view the settlement details.

- Message Preview - User can view the preview of the simulating message to the remitting bank.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Import Collection Booking KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.



Note

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Limit/Credit Check

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Import Collection Update Booking Limit exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Level Approval

Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization User can acquire the task for approving.

On successful Handoff, system triggers TRFB event in the Back office.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Drawee Name
- Drawer Name
- Bill Currency
- Bill Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

The screenshot shows the 'My Tasks' interface in the FuTura Bank system. A modal window is open for re-keying application 203ILCISS000000888. The modal contains the following fields:

- Applicant Party: 001345 (with a search icon and a green checkmark)
- Currency: GBP (with a dropdown arrow and a green checkmark)
- Amount: £15,000.00 (with a green checkmark)
- Beneficiary Party: 001344 (with a search icon and a green checkmark)
- Expiry Date: 08/17/18 (with a calendar icon and a green checkmark)

At the bottom of the modal are 'Proceed' and 'Cancel' buttons. The background shows a table with two rows of task data:

	Edit	Priority	Application Number	Amount	Process Name	Stage
☐	Edit	M	203ILCISS000000888	£15,000.00	Import LC	Approval
☐	Edit	M	203ILCISS000000817	£99,999.00	Import LC	Scrutiny

Summary

My Tasks

(DEFAULTTENTITY)
(PK2) May 6, 2019
JEEVA02
subham@gmail.com

Import Documentary Collection Booking Update - Approval Task Level 1 :: Application No: PK2IDCU000059128

Summary

Main Details	Maturity Details	Additional Fields	Advices	Limits and Collaterals
Booking Date : 2019-05-06 Submission Mode : Desk Bill Amount : USD 6000	Tenor Type : Usance	Click here to view : Additional fields	Advice 1 : PAYMENT_ME	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified
Commission,Charges and Taxes	Preview Messages	Payment Details	FX Linkage	Loan Preferences
Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -	Advance by Loan : No Allow Rollover : No Liquidate using : No Collateral	Reference Number : Contract Amount : Contract Currency :	Loan Product : linkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity :
Tracer Details	Settlement Details	Updated Details	Parties Details	Compliance details
Payment Tracer : No Acceptance Tracer : No Confirmation Tracer : No Charge Tracer : No	Component : REFUND_INT Account Number : PK20012020 Currency : USD	Click here to view : Amended/Updated Details	RemittingBank : WELLS FARG Drawer : MARKS AND Drawee : GOODCARE PLC	KYC : Not Initia Sanctions : Not Initia AML : Not Initia

Audit

Hold Approve

Tiles Displayed in Summary:

- Main Details - User can view details about application details and collection details.
- Party Details - User can view party details like remitting bank, drawee, drawer etc.
- Maturity Details - User can view the maturity details in case usance and multi tenor.
- Documents - User can view the document details.
- Shipment Details - User can view the shipment details.
- Additional Fields - User can view the additional fields.
- FX Linkage - User can view the details of FX Linkage.
- Updated Details - User can view the details updated to the Import Collection.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Tracers - User can view the tracer details.
- Message Preview - User can view the preview of the simulated messages to remitting bank.
- Other Preferences - User can view the details of the preferences selected.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import Documentary Collection Booking available in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The screen from which the reject was initiated can be seen highlighted in the tile view.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Summary

The screen up to which data was captured before reject will be available for the user to view in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and document under collection.
- Party Details - User can view party details like applicant, Remitting Bank etc.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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